

# UNITED STATES HOUSE OF REPRESENTATIVES CALNDAR YEAR 2011 FINANCIAL DISCLOSURE STATEMENT

**FORM A**  
For use by Members, officers, and employees

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**HAND DELIVERED**  
LEGISLATIVE RESOURCE CENTER

MAZIE K. HIRONO

(Full Name)

808-732-1959

(Daytime Telephone)

2012 MAY 15 PM 5:44

OFFICE OF THE CLERK  
LEGISLATIVE RESOURCE CENTER

**Filer Status**  
☒ Member of the U.S. House of Representatives  
State: HI District: 02

☐ Officer Or Employee  
Employing Office:

**Report Type**  
☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

A \$200 penalty shall be assessed against anyone who files more than 30 days late.

## PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

|  |   |  |   |
|--|---|--|---|
| I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period?<br>If yes, complete and attach Schedule I.   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$350 and not otherwise exempt)?<br>If yes, complete and attach Schedule VI.             | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period?<br>If yes, complete and attach Schedule II.  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$350 from one source)?<br>If yes, complete and attach Schedule VII. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> |
| III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period?<br>If yes, complete and attach Schedule III. | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year?<br>If yes, complete and attach Schedule VIII.  | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period?<br>If yes, complete and attach Schedule IV.  | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | IX. Did you have any reportable agreement or arrangement with an outside entity?<br>If yes, complete and attach Schedule IX.   | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period?<br>If yes, complete and attach Schedule V.   | Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> | Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.   |   |

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

|   |   |
|---|---|
| <b>Trusts--</b><br>Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?                      | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |
| <b>Exemptions--</b><br>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. | Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> |

**SCHEDULE I - EARNED INCOME**

Name MAZIE K. HIRONO

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

| Source  | Type          | Amount   |
|---|---------------|----------|
| WONG & OSHIMA ATTYS AT LAW                          | SPOUSE SALARY | N/A      |
| EMPLOYEES' RETIREMENT SYSTEM OF THE STATE OF HAWAII | STATE PENSION | \$45,615 |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name MAZIE K. HIRONO

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| BLOCK A   |  | BLOCK B  | BLOCK C   | BLOCK D  | BLOCK E  |
|---|--|--|---|--|--|
| Asset and/or Income Source  |  | Year-End Value of Asset  | Type of Income  | Amount of Income   | Transaction  |
| <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or source of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address. For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> |  | <p>At close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p> | <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p> | <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p> | <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> |
| JT  | 1122 ELM ST. #204<br>HONOLULU, HI                          | \$250,001 -<br>\$500,000   | RENT  | \$5,001 - \$15,000   |  |
|   | ALOHA PACIFIC FCU  | \$1,001 -<br>\$15,000  | INTEREST  | \$1 - \$200  |  |
| JT  | AMCAP FUND A   | \$15,001 -<br>\$50,000   | DIVIDENDS   | \$1 - \$200  |  |
| SP  | ARTIO GLOBAL INVT FUNDS<br>TOTAL RETURN BOND CL I<br>(IRA) | \$1,001 -<br>\$15,000  | None  | NONE   |  |
| JT  | BANK OF THE ORIENT   | \$15,001 -<br>\$50,000   | INTEREST  | \$1 - \$200  |  |
| JT  | BOND FUND OF AMERICA A                                     | \$15,001 -<br>\$50,000   | DIVIDENDS   | \$201 - \$1,000  |  |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |   |                       |           |                    |   |
|----|---|-----------------------|-----------|--------------------|---|
| JT | CAPITOL INCOME BUILDER A                      | \$100,001 - \$250,000 | DIVIDENDS | \$5,001 - \$15,000 | P |
|    | CAPITOL INCOME BUILDER A (IRA)                | \$15,001 - \$50,000   | None      | NONE               | P |
| JT | CAPITOL WORLD GROWTH & INC FUND A             | \$100,001 - \$250,000 | DIVIDENDS | \$2,501 - \$5,000  | P |
| SP | COLUMBIA FUNDS MARISCO 21ST CTY A (IRA)       | \$1,001 - \$15,000    | None      | NONE               |   |
|    | CONGRESSIONAL FED CREDIT UNION                | \$15,001 - \$50,000   | None      | NONE               |   |
| SP | FEDERATED STRATEGIC VALUE DIV FUND (IRA)      | \$1,001 - \$15,000    | None      | NONE               |   |
| SP | GOLDMAN SACHS MID CAP VALUE FUND (401K)       | \$1,001 - \$15,000    | None      | NONE               |   |
| SP | HARTFORD MUTUAL FUNDS CAP APPREC FUND A (IRA) | \$1,001 - \$15,000    | None      | NONE               |   |
| JT | HAWAII STATE FEDERAL CREDIT UNION             | \$50,001 - \$100,000  | INTEREST  | \$1 - \$200        |   |
| JT | INCOME FUND OF AMERICA A                      | \$50,001 - \$100,000  | DIVIDENDS | \$2,501 - \$5,000  | P |
| JT | INVESTMENT CO OF AMERICA A                    | \$50,001 - \$100,000  | DIVIDENDS | \$1,001 - \$2,500  | P |
| SP | IVY FUNDS - ASSET STRATEGY CL I (IRA)         | \$15,001 - \$50,000   | None      | NONE               |   |
| SP | MANULIFE                                      | \$15,001 - \$50,000   | DIVIDENDS | \$1,001 - \$2,500  |   |
| SP | NEW PERSPECTIVE FUND CL I (IRA)               | \$15,001 - \$50,000   | None      | NONE               |   |

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

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|    |  |                         |                     |                 |   |
|----|--|-------------------------|---------------------|-----------------|---|
| SP | OPPENHEIMER GLOBAL (401K)                        | \$1,001 - \$15,000      | None                | NONE            |   |
| SP | PIMCO - PAC INVEST DIV INC FD INSTL (IRA)        | \$1,001 - \$15,000      | None                | NONE            |   |
| SP | PIMCO - PAC INVEST MGT - TOTAL RETURN (IRA)      | \$1,001 - \$15,000      | None                | NONE            |   |
| SP | PIMCO REAL RETURN BOND (401K)                    | \$1,001 - \$15,000      | None                | NONE            |   |
| SP | PIMCO TOTAL RETURN FUND ADM (401K)               | \$1,001 - \$15,000      | None                | NONE            | P |
|    | STATE OF HAWAII EMPLOYEE RETIREMENT SYS          | \$500,001 - \$1,000,000 | Other: PENSION      | NONE            |   |
| SP | SUN LIFE FINANCIAL                               | \$1,001 - \$15,000      | DIVIDENDS           | \$201 - \$1,000 |   |
| SP | T ROWE PRICE BLUE CHIP GROWTH (401K)             | \$15,001 - \$50,000     | None                | NONE            |   |
| SP | T ROWE PRICE EQUITY INCOME (401K)                | \$15,001 - \$50,000     | None                | NONE            |   |
| SP | T ROWE PRICE MID CAP GROWTH (401K)               | \$1,001 - \$15,000      | Other: Tax deferred | NONE            |   |
| SP | T ROWE PRICE NEW ERA (401K)                      | \$1,001 - \$15,000      | Other: Tax deferred | NONE            |   |
| SP | T ROWE PRICE PERSONAL STRATEGY BAL (401K)        | \$50,001 - \$100,000    | None                | NONE            | P |
| SP | T ROWE PRICE PRIME RESERVE (401K) (MONEY MARKET) | \$50,001 - \$100,000    | None                | NONE            |   |
| SP | T ROWE PRICE SMALL CAP VALUE (401K)              | \$1,001 - \$15,000      | Other: Tax deferred | NONE            |   |

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

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|    |  |                          |                               |             |  |
|----|--|--------------------------|-------------------------------|-------------|--|
| JT | THORNBURG INVESTMENT<br>GLOBAL OPPORTUNITIES CL<br>I (IRA) | \$1,001 -<br>\$15,000    | None                          | NONE        |  |
| SP | WELLS FARGO<br>ADVANTAGE - ASSET ALLOC<br>FD (IRA)         | \$15,001 -<br>\$50,000   | None                          | NONE        |  |
| SP | WELLS FARGO LIQUID<br>ASSET FUND                           | \$100,001 -<br>\$250,000 | DIVIDENDS/<br><i>Interest</i> | \$1 - \$200 |  |
| SP | WONG & OSHIMA AAL<br>(OWNERSHIP SHARE)                     | None                     | None                          | NONE        |  |

SCHEDULE IV - TRANSACTIONS

Name MAZIE K. HIRONO

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Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset                             | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date   | Amount of Transaction |
|------------------|-----------------------------------|------------------------|---|--|-----------------------|
| JT               | CAPITAL INCOME BUILDER A          | P                      | N/A                                       | MONTHLY<br>PURCHASE &<br>QUARTERLY<br>DIVIDEND<br>REINVEST | \$1,001 - \$15,000    |
|                  | CAPITAL INCOME BUILDER A (IRA)    | P                      | N/A                                       | QUARTERLY<br>DIVIDEND<br>REINVEST                          | \$1,001 - \$15,000    |
| JT               | CAPITAL WORLD GROWTH & INC FUND A | P                      | N/A                                       | MONTHLY<br>PURCHASE &<br>QUARTERLY<br>DIVIDEND<br>REINVEST | \$1,001 - \$15,000    |
| JT               | INCOME FUND OF AMERICA A          | P                      | N/A                                       | MONTHLY<br>PURCHASE &<br>QUARTERLY<br>DIVIDEND<br>REINVEST | \$1,001 - \$15,000    |
| JT               | INVESTMENT COMPANY OF AMERICA     | P                      | N/A                                       | MONTHLY<br>PURCHASE &<br>QUARTERLY<br>DIVIDEND<br>REINVEST | \$1,001 - \$15,000    |

SCHEDULE IV - TRANSACTIONS

Name MAZIE K. HIRONO

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

| SP,<br>DC,<br>JT | Asset                              | Type of<br>Transaction | Capital<br>Gain in<br>Excess<br>of \$200? | Date  | Amount of Transaction |
|------------------|------------------------------------|------------------------|---|---|-----------------------|
| SP               | PIMCO TOTAL RETURN FUND ADM (401K) | P                      | N/A                                       | 02-23-11, 03-08-11, 03-23-11, 04-08-11, 10-24-11, 11-23-11 &<br>MONTHLY<br>DIVIDEND<br>REINVEST | \$1,001 - \$15,000    |

|    |                                       |   |     |   |                    |
|----|---------------------------------------|---|-----|---|--------------------|
| SP | T ROWE PRICE PERS STRATEGY BAL (401K) | P | N/A | 01-11-11, 01-24-11, 02-08-11, 02-23-11, 03-08-11, 03-23-11, 04-08-11, 10-24-11, 11-23-11 &<br>QUARTERLY<br>DIVIDEND<br>REINVEST | \$1,001 - \$15,000 |
|----|---------------------------------------|---|-----|---|--------------------|



**SCHEDULE V - LIABILITIES**

Name MAZIE K. HIRONO

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Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000. NOTE: Pending legislation may require Members to report mortgages on personal residences.

| SP,<br>DC,<br>JT | Creditor            | Date<br>Liability<br>Incurred | Type of Liability                            | Amount of Liability     |
|------------------|---------------------|-------------------------------|--|-------------------------|
| JT               | TERRITORIAL SAVINGS | SEPTEMBER 2003                | MORTGAGE ON 1122 ELM ST. #204, HONOLULU, HI  | \$15,001 - \$50,000     |
| JT               | TERRITORIAL SAVINGS | JANUARY 2011                  | MORTGAGE ON PERSONAL RESIDENCE, HONOLULU, HI | \$500,001 - \$1,000,000 |

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totalling more than \$350 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were paid directly by the sponsor or were paid by you and reimbursed by the sponsor.

**Exclude:** Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C. § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

[illegible]